



U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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| <b>INFORMATION DISCLOSURE STATEMENT</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                       | Docket Number:<br><b>1662/63102</b> |                         |
| Application Number<br><b>10/785,244</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Filing Date<br><b>February 23, 2004</b>                               | Examiner<br><b>Not Yet Assigned</b> | Art Unit<br><b>1614</b> |
| Invention Title<br><b>SYNTHESIS OF QUETIAPINE AND PHARMACEUTICALLY ACCEPTABLE SALTS THEREOF</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Inventors<br><b>Dov DILLER et al.</b>                                 |                                     |                         |
| <p>Address to:</p> <p>Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p>1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).</p> <p>2. In accordance with the Official Gazette of August 5, 2003, which stated that the United States Patent and Trademark Office waived the requirement under 37 C.F.R. § 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, copies of each of the cited U.S. patents and U.S. patent application publications are not being provided.</p> <p>3. A copy of each publication or other information listed on the modified PTO form 1449 is enclosed.</p> <p>4. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A copy of this communication is enclosed for charging purposes.</p> |                                                                       |                                     |                         |
| Dated:<br><i>June 30, 2004</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | By: <i>John B. Starr, Jr.</i><br>John B. Starr, Jr. (Reg. No. 44,474) |                                     |                         |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT<br/>PTO-1449</b> | ATTY. DOCKET NO.<br><b>1662/63102</b>            | U.S. SERIAL NO.<br><b>10/785,244</b> |
|                                                                       | <b>APPLICANT(S)</b><br><b>Dov DILLER, et al.</b> |                                      |
|                                                                       | FILING DATE<br><b>February 23, 2004</b>          | GROUP<br><b>1614</b>                 |

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### U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE      | NAME           | CLASS | SUBCLASS | FILING DATE |
|------------------|---------------|------------------|----------------|-------|----------|-------------|
|                  | USP 4,879,288 | November 7, 1989 | Warawa, et al. |       |          |             |
|                  |               |                  |                |       |          |             |
|                  |               |                  |                |       |          |             |

### FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|------------------|-----------------|------|---------|-------|----------|-------------|----|
|                  |                 |      |         |       |          | YES         | NO |
|                  |                 |      |         |       |          |             |    |
|                  |                 |      |         |       |          |             |    |
|                  |                 |      |         |       |          |             |    |
|                  |                 |      |         |       |          |             |    |
|                  |                 |      |         |       |          |             |    |

### OTHER DOCUMENTS

|                  |                                                    |
|------------------|----------------------------------------------------|
| EXAMINER INITIAL | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.         |
|                  | Merck Index, 13 <sup>th</sup> Edition, 8130 (2001) |
|                  |                                                    |
|                  |                                                    |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|          |                 |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.